

Diarylprolinol Silyl Ether as Catalyst of an *exo*-Selective, Enantioselective Diels–Alder Reaction

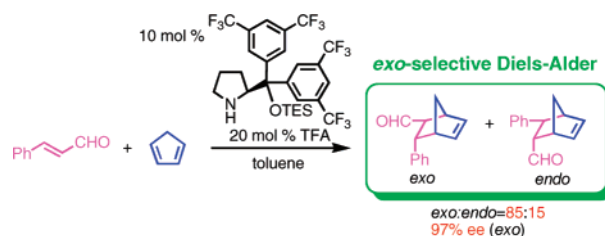
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ABSTRACT



In combined use with $\text{CF}_3\text{CO}_2\text{H}$, 2-[bis(3,5-bis-trifluoromethylphenyl) triethylsiloxyethyl]pyrrolidine was found to be an effective organocatalyst of an *exo*-selective, enantioselective Diels–Alder reaction of α,β -unsaturated aldehydes.

Diarylprolinols are a class of small, chiral organic molecules that have proven to be particularly useful in asymmetric synthesis. Diphenylprolinol reacts with a boron reagent to generate chiral oxazaborolidine, which has been widely utilized in the Corey–Bakshi–Shibata (CBS) reduction developed by Corey.¹ His group also discovered that chiral oxazaborolidinium ions prepared from diarylprolinols are versatile chiral Lewis acid catalysts, promoting the Diels–Alder reaction and the cyanation reaction of aldehydes.² Enantioselective reactions catalyzed by organocatalyst have

received a great deal of attention in recent years,³ and silyl ethers of diarylprolinols^{4,5,6} have been found to act as effective organocatalysts of various enantioselective transformations, as independently developed by Jørgensen's⁴ and

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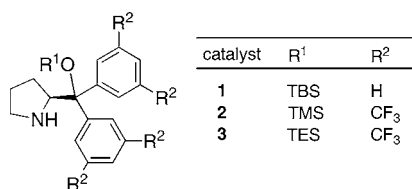
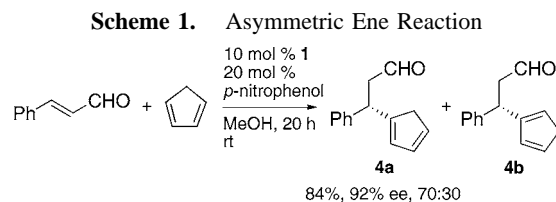


Figure 1. The diphenylprolinol-organocatalysts examined in this study.

our⁵ groups. We have found that they promote the enantioselective Michael reaction of nitroalkene and aldehyde to afford the adduct with excellent diastereo- and enantioselectivities.^{5a} Enders and co-workers elegantly applied this reaction to a triple-cascade organocatalytic reaction for the synthesis of chiral cyclohexanecarbaldehydes.⁶ⁱ Recently we reported that diphenylprolinol silyl ether catalyzes the enantioselective ene reaction of an α,β -unsaturated aldehyde with cyclopentadiene to afford substituted cyclopentadienes with excellent enantioselectivity (Scheme 1).^{5b} In the course



of developing this reaction further, we happened to find that an *exo*- and enantioselective Diels–Alder reaction proceeds on changing the reaction conditions. That is, in the presence of an acid catalyst, the Diels–Alder reaction becomes the principle pathway, affording the *exo*-isomer with high diastereo- and excellent enantioselectivities.

The Diels–Alder reaction is a synthetically powerful method for the construction of regio and stereochemically defined cyclohexane frameworks, key components of a wide

variety of natural products.⁷ The selective formation of the *exo*-isomer has long proven to be difficult.⁸ For instance, the Diels–Alder reactions of cyclopentadiene with acrolein, methyl acrylate, and methyl vinyl ketone all afford predominantly the *endo*-isomer. This *endo*-selectivity is enhanced by Lewis acid.⁹ Even in the recently developed Diels–Alder reaction catalyzed by organocatalyst,¹⁰ selective formation of the *exo*-isomer can be a challenging problem; MacMillan reported the first asymmetric Diels–Alder reaction catalyzed by organocatalyst of cyclopentadiene and α,β -unsaturated aldehydes which proceeded with excellent enantioselectivity in spite of low diastereoselectivity.^{10a} On the other hand, Maruoka and co-workers reported an elegant *exo*- and enantioselective Diels–Alder reaction catalyzed by binaphthyl-based diamine salts.^{10b} While excellent *exo*- and enantioselectivities were achieved, the scope was limited to cyclopentadiene and three α,β -unsaturated aldehydes. Development of an *exo*-selective and enantioselective Diels–Alder reaction of wider generality is desirable. In this paper, we will disclose such a reaction using the catalyst diarylprolinol silyl ether.

As a model we studied the reaction of cinnamaldehyde and cyclopentadiene in the presence of several diarylprolinol silyl ethers (results summarized in Table 1). As we have reported, in the presence of *p*-nitrophenol the *tert*-butyldimethylsilyl ether of diphenylprolinol (**1**) is an effective catalyst of an enantioselective ene reaction, affording the cyclopentadiene derivative **4** with excellent enantioselectivity (Scheme 1).^{5b}

However when the reaction was performed under acidic conditions, its course changed dramatically. When cinnamaldehyde and cyclopentadiene were treated with catalyst **1** in the presence of CF₃CO₂H in toluene, the cyclopentadiene derivative **4** was not formed but rather Diels–Alder adduct

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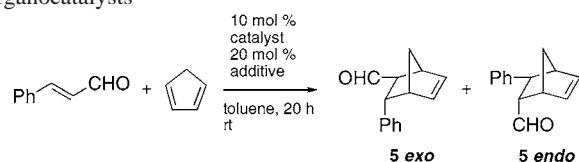
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Table 1. Effect of the Catalyst in the Reaction of Cinnamaldehyde and Cyclopentadiene Catalyzed by Organocatalysts^a



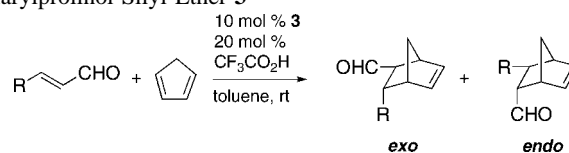
entry	catalyst	additive	yield [%] ^b	exo/endo ^c	ee (exo) [%] ^d	ee (endo) [%] ^d
1	1	CF ₃ CO ₂ H	10	79:21	79	88
2	2	none	0			
3	2	CH ₃ CO ₂ H	0			
4	2	CCl ₃ CO ₂ H	69	87:13	95	79
5	2	CF ₃ CO ₂ H	86	84:16	95	83
6	2	CSA	10	57:43	69	5
7	2	TsOH·H ₂ O	13	88:12	45	0
8	2	TfOH	0			
9	3	CF ₃ CO ₂ H	80	85:15	97	88

^a Unless otherwise shown, the reaction was conducted with 0.07 mmol of catalyst, 0.14 mmol of additive, 0.7 mmol of cinnamaldehyde, and 2.1 mmol of cyclopentadiene at rt. ^b Isolated yield of a mixture of **5-exo** and **5-endo**. ^c Determined by ¹H NMR. ^d Determined by chiral HPLC analysis.

5 was obtained in good optical purity in spite of the low yield (Table 1, entry 1). Moreover, the *exo*-isomer was obtained predominantly. Catalyst, solvent, and additive were screened: 3,5-bis(trifluoromethyl)phenyl-substituted catalyst **2** was found to be a superior Diels–Alder reaction catalyst to diphenyl analogue **1**. Several acids were investigated as additives including CH₃CO₂H, CCl₃CO₂H, CF₃CO₂H, camphorsulfonic acid, TsOH·H₂O, and TfOH. The reaction did not proceed in the presence of a weak acid such as CH₃CO₂H nor a strong acid such as TfOH, and of these, CF₃CO₂H was found to be most effective (entry 5). In marked contrast, no reaction proceeded in the presence of CH₃CO₂H (entry 3). Screening of the solvent indicated that toluene is suitable. The effect of the silyl group substituent was also investigated. Triethylsilyl derivative **3** gave higher enantioselectivity than the corresponding trimethylsilyl derivative **2**. Results under the best reaction conditions are as follows: When a mixture of cinnamaldehyde and cyclopentadiene was treated with triethylsilyl ether **3** in the presence of CF₃CO₂H in toluene, the Diels–Alder product **5** was obtained in 80% yield with high *exo*-selectivity (*exo/endo* = 85:15) and excellent enantioselectivity (*exo* 97% ee, *endo* 88% ee, entry 9).

As the best conditions for the Diels–Alder reaction had been found, the generality of the reaction was investigated (results summarized in Table 2). Not only phenyl, but also a 2-naphthyl-substituted acrolein derivative gave an excellent result (entry 2). Although the reaction is slow for acrolein derivatives possessing electron-rich aromatic substituents such as *o*-methoxyphenyl, a good yield was obtained by prolonging the reaction time, high *exo*-selectivity and excel-

Table 2. Enantioselective Diels–Alder Reaction between Cyclopentadiene and α,β -Unsaturated Aldehydes Catalyzed by Diarylprolinol Silyl Ether **3**^a



entry	R	time [h]	yield [%] ^b	exo/endo ^c	ee (exo) [%] ^d	ee (endo) [%] ^d
1	Ph	26	quant	85:15	97 (2S) ^e	88 (2S) ^e
2	2-Np	28	94	86:14	96	82
3	<i>o</i> -MeOPh	78	71	78:22	96	96
4	<i>p</i> -BrPh	24	quant	86:14	96	84
5	<i>p</i> -NO ₂ Ph	6	93	87:13	96	82
6 ^f	2-furyl	100	67	80:20	94 (2S) ^e	78 (2S) ^e
7	cyclohexyl	17	78	85:15	97	93
8	<i>n</i> -Bu	3	65	78:22	94	91
9 ^g	CO ₂ Et	17	92	70:30	84	64
10 ^h	<i>p</i> -NO ₂ Ph	17	quant	86:14	94	73

^a Unless otherwise shown, the reaction was conducted with 0.07 mmol of catalyst **3**, 0.14 mmol of CF₃CO₂H, 0.7 mmol of cinnamaldehyde, and 2.1 mmol of cyclopentadiene at rt in toluene. ^b Isolated yield of a mixture of *exo* and *endo* isomers. ^c Determined by ¹H NMR (400-MHz). ^d The ee was determined by chiral HPLC or GC analysis. ^e Absolute configuration, see reference 10a. ^f Catalyst **3** (20 mol %) and CF₃CO₂H (40 mol %) were used. ^g The reaction was performed at 4 °C. ^h Catalyst **3** (2 mol %) and CF₃CO₂H (4 mol %) were used.


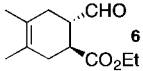

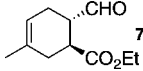
lent enantioselectivity were realized (entry 3). When the substituent is electron-deficient, such as *p*-bromophenyl and *p*-nitrophenyl, the reaction is fast, with high diastereo- and excellent enantioselectivities (entries 4, 5). Not only aromatic groups, but also heteroaromatic groups such as furyl are suitable substituents (entry 6). Alkyl group-substituted acrolein also gave good results, and steric size does not compromise the enantioselectivity (entries 7, 8). 3-Ethoxycarbonylpropenal is also a suitable α,β -unsaturated aldehyde, affording high selectivity (entry 9). Though we have used 10 mol % of the catalyst in the above reactions, loading can be reduced to 2 mol %. That is, in the presence of 2 mol % of **3** and 4 mol % of CF₃CO₂H, the reaction proceeds efficiently, affording the Diels–Alder product in 99% yield with excellent enantioselectivity (*exo* 94% ee, *endo* 73% ee, entry 10).

Other dienes can also be employed successfully. Acyclic dienes such as 2,3-dimethyl-1,3-butadiene and isoprene are suitable dienes, producing the Diels–Alder products, also with excellent enantioselectivity as shown in Table 3. In the reaction of isoprene, there is the possibility that regio-isomers will be formed, but only a single isomer **7** was observed.¹¹ The absolute configuration of **6** was determined by transformation to the known (1*S*, 6*S*)-(3,4-dimethyl-(6-hydroxymethyl)cyclohex-3-enyl)methanol.¹²

(11) The regiochemistry was determined by 2D-¹H-NMR.

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Table 3. Enantioselective Diels–Alder Reaction between β -Ethoxycarbonylacrolein and Dienes Catalyzed by Diarylprolinol Silyl Ether **3**^a

$\text{EtO}_2\text{C}-\text{CH}=\text{CH}-\text{CHO} + \text{diene} \xrightarrow[\text{toluene, 4 } ^\circ\text{C}]{\begin{smallmatrix} 10 \text{ mol } \% \text{ } \mathbf{3} \\ 20 \text{ mol } \% \\ \text{CF}_3\text{CO}_2\text{H} \end{smallmatrix}} \text{Diels-Alder product}$					
entry	diene	product	time [h]	yield [%] ^b	ee [%] ^c
1			16	93	82
2			16	86	92

^a Unless otherwise shown, the reaction was conducted with 0.07 mmol of catalyst **3**, 0.14 mmol of $\text{CF}_3\text{CO}_2\text{H}$, 0.7 mmol of cinnamaldehyde, and 2.1 mmol of diene at 4 $^\circ\text{C}$. ^b Isolated yield. ^c The ee was determined by chiral HPLC analysis.

In summary, we have developed an *exo*-selective Diels–Alder reaction of α,β -unsaturated aldehydes catalyzed by diarylprolinol silyl ether **3** under acidic conditions. As it is

known that chiral oxazaborolidinium ions prepared from diarylprolinol are versatile enantioselective Diels–Alder catalysts,² it is interesting to note that after appropriate modification the same small molecule, diarylprolinol, can act both as part of a Lewis acid catalyst and as an organocatalyst in the asymmetric Diels–Alder reaction.

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Supporting Information Available: Detailed experimental procedures, full characterization, copies of ^1H -, ^{13}C NMR and IR spectra of all new compounds. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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